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WALKER, MCKENZIE & WALKER, P.C.
6363 Poplar Ave., Suite 434

Memphis, Tennessee 38119-4896 Tel: (901) 685-7428 • Fax: (901) 682-6488

FAX COVER SHEET

To: Examiner Derek Douglas Knight

Of: U.S. Patent and Trademark Office, TC/AU 3681

Transmitted to Fax Number:

571-273-8300

From:

Russell H. Walker

Client/Matter:

Appalachian Cast Products, Inc. / Stephen H. Canonico

Hand-Operated Jointed Control Lever

U.S. Patent Appl. 10/690,147 (filed Oct. 21, 2003) (Confirmation No. 3912)

Our File No.: A3,041

Date:

January 3, 2007

NUMBER OF PAGES INCLUDING THIS PAGE:

7

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I hereby certify that the appended:

- (1) Transmittal Letter (1 page) with
- (2) Response under 37 C.F.R. § 1.116 and Amendment under 37 C.F.R. § 1.121 (5 pages)

is being transmitted by me to Examiner Derek Douglas Knight of the U.S. Patent and Trademark Office, TC/AU 3681, via facsimile transmission to telephone number 571-273-8300.

on 1/3/2007.
Date: 1/3/2007.

Russell H. Walker

U.S.P.T.O. Registration No. 35,401

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JAN 03 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Date: January 3, 2007 TRANSMITTAL LETTER

In re:

Applicant(s):

Stephen H. Canonico

For:

Hand-Operated Jointed Control Lever

Application No.:

10/690,147

Filed:

October 21, 2003

Confirmation No.:

3912

Examiner:

Derek Douglas Knight

T.C. / Art Unit:

3681

Assignee:

Appalachian Cast Products, Inc.

Att'y Docket No.:

A3,041

TO THE COMMISSIONER FOR PATENTS:

Enclosed herewith are the documents set forth hereinbelow for processing:

Response under 37 C.F.R. § 1.116 and Amendment under 37 C.F.R. § 1.121 (5 pages)

Small entity status has previously been granted and has not changed.

Please charge any additional fees or credit any overpayment concerning the filing of the enclosed documents to Deposit Account No. 23-0125.

Respectfully submitted,

Russell H. Walker

APPALACHIAN CAST PRODUCTS, INC., Assignee

Walker, McKenzie & Walker, P.C.

6363 Poplar Ave., Suite 434

Memphis, TN 38119-4896 Tel. No. (901) 685-7428 Fax No. (901) 682-6488 Registration No. 35,401

Attorney for Assignee

I hereby certify that this correspondence is being transmitted by me via facsimile to Examiner Derek Douglas Knight in USPTO Art Unit 3681 at facsimile number

571-273-8300,

Russell H. Walker

Reg. No. 35,401

PAGE 2/7 * RCVD AT 1/3/2007 5:34:21 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-3/20 * DNIS:2738300 * CSID:901 682 6488 * DURATION (mm-ss):04-26

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RESPONSE UNDER 37 C.F.R. § 1.116 EXPEDITED PROCEDURE EXAMINING GROUP 3681

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Appl. No.

10/690,147

Confirmation No. 3912

Filed

: '

October 21, 2003

Applicant(s)

Stephen H. Canonico

Assignee

Appalachian Cast Products, Inc.

Assignee For

Hand-Operated Jointed Control Lever

TC/A.U.

: 3681

Examiner

Derek Douglas Knight

Att'y Docket No. :

A3,041

Mail Stop AF

COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

Certificate of Facsimile Transmission under 37 C.F.R. § 1.8

I hereby certify that this Response under 37 C.F.R. § 1.116 and Amendment under 37 C.F.R. § 1.121, together with a cover sheet and a Transmittal Letter, is being transmitted by me via facsimile to Art Unit 3681 at the following facsimile number provided by the Examiner in the Office Action:

571-273-8300

on 1/3/2007.

Russell H. Walker

U.S.P.T.O. Registration No. 35,401

RESPONSE under 37 C.F.R. § 1.116 and

AMENDMENT under 37 C.F.R. § 1.121

In response to the Examiner's Action dated December 22, 2006, please amend the

4 above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims that begins on page 2

6 of this paper.

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Remarks/Arguments begin on page 4 of this paper.